

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:	Atty. Docket No.:	000479.00114
Maureen Caudill et al.		
Serial No.:	Divisional Application of 09/761,188, filed January 18, 2001	Group Art Unit: TBD
Filed:	Concurrently herewith	Examiner: TBD
For:	METHOD AND SYSTEM OF RANKING AND CLUSTERING FOR DOCUMENT INDEXING AND RETRIEVAL	

INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents
P.O. Box 1450
Washington, D.C. 20231

Sir:

Pursuant to their duty of good faith and candor as set forth in 37 C.F.R. §§ 1.56(a), 1.97 and 1.98 *et seq.*, Applicants submit herewith various documents cited on the attached Forms PTO 1449. Applicants respectfully request that the Examiner consider and enter all the documents cited on the enclosed Forms PTO 1449 into the file of the above-identified application. Applicants also request an indication of the same by return of the Forms PTO 1449 being initialed and dated by the Examiner.

Copies of the cited references have already been submitted with Information Disclosure Statements filed with the U.S. Patent and Trademark Office for the parent application 09/761,188. Therefore, copies of the cited references are not being submitted with this Information Disclosure Statement.

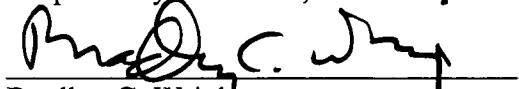
A copy of International Search Report, which issued for PCT/US01/32636 on May 21, 2003, for a corresponding PCT application was submitted to the U.S. Patent and Trademark Office in an Information Disclosure Statement in parent application 09/761,188. The references cited on the International Search Report were noted: U.S. Patent Nos. 5,870,701 and 5,930,746. In addition, EP 0413132 and WO 0049517 were cited.

Additionally, a copy of International Search Report, which issued for PCT/US01/18836 on July 20, 2001, for a corresponding PCT application was submitted to the U.S. Patent and Trademark Office in an Information Disclosure Statement in parent application 09/761,188. The references cited on the

International Search Report were noted: U.S. Patent Nos.: 6,055,531; 6,049,799; 5,933,822; 6,026,388; and 6,076,051.

The present Information Disclosure Statement is being filed concurrently with the filing of the above-identified divisional application. It is believed no fee is due for the filing of this Information Disclosure Statement. However, the Commissioner is hereby authorized to charge Deposit Account No. 19-0733 for any necessary fees required or an overpayment of fees made, the Commissioner is hereby authorized to debit or credit our Deposit Account No. 19-0733, as necessary.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Bradley C. Wright", is written over a horizontal line.

Bradley C. Wright
Reg. No. 38,061

Dated: December 1, 2003

Banner & Witcoff, Ltd.
Eleventh Floor
1001 G Street, N.W.
Washington, D.C. 20001-4597
(202) 824-3000

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 1 of 5

Complete if Known

Application Number	TBD
Filing Date	Concurrently herewith
First Named Inventor	Caudill et al.
Group Art Unit	TBD
Examiner Name	TBD
Attorney Docket Number	000479 00114

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
/DM/		US 5,870,701	02-09-1999	Wachtel	
/DM/		US 5,930,746	07-27-1999	Ting	
/DM/		US 5,297,039	03-22-1994	Kanaegami et al.	
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		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
/DM/		WO 0049517 A	08-24-2000			
/DM/		EP 0413132 A	02-20-1991			

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Signature

/Dennis Myint/

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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/DM/		Koller, D., and Sahami, M. Hierarchically classifying documents using very few words. ICML-97: Proceedings of the Fourteenth International Conference on Machine Learning, 1997	
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/DM/		DUNJA MLADINIC, Turning Yahoo into an Automatic Web-Page Classifier, ECAI 98: 13 th European Conference on Artificial Intelligence, Brighton, UK, August 23-28, 1998, 473-474, John Wiley & Sons, Ltd.	

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/DM/		US- 6,049,799	04/11/2000	Mangat et al.	
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/DM/		Tom M. Mitchell, "Machine Learning", WCB/McGraw-Hill 1997.	
/DM/		Choon Yang Quek, "Classification of World Wide Web Documents", Senior Honors Thesis, CMU, December 2003	
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/DM/		Charniak, Eugene. 1993. Statistical Language Learning. Cambridge, MA: MIT Press.	
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/DM/		Duda, Richard and Peter Hart. 1973. Pattern Classification and Scene Analysis. New York, NY: Wiley.	
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Examiner Signature	/Dennis Myint/		Date Considered 10/08/2008

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/DM/		US- 5,056,021	10/08/1991	Ausborn	
/DM/		US- 5,309,359	05/03/1994	Katz et al.	
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